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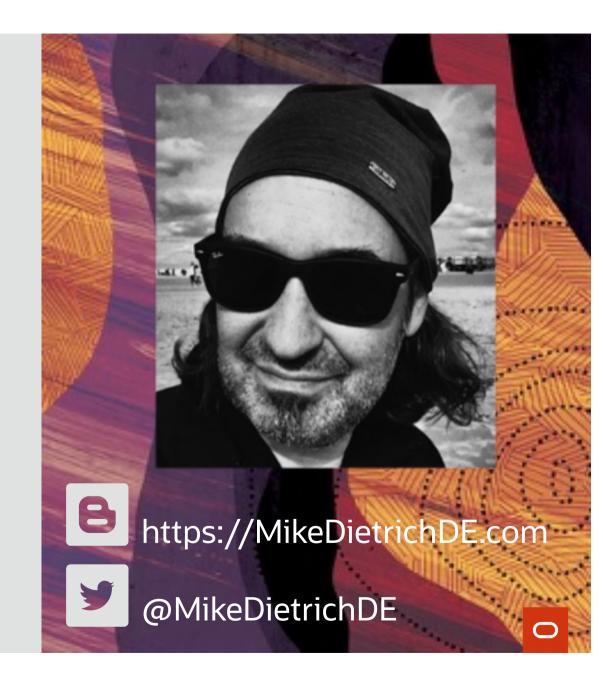
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Mike Dietrich

Master Product Manager Database Upgrade and Migrations



Database Upgrade

What you shouldn't do





Don't do this | Outdated Versions

From:
Sent: Monday, January 14, 2019 108 AM
To: A shorechecomo
Subject: Migration Oracle D8 Version 7 to Oracle D8 12C

Hello
I'm working into a deal in LAD Customer, migrating about aprox 70 db oracle database version 7 (supporting OLD application developed on Oracle Forms) distributed around all country, my customer is want to modernized their application to 3 layer architecture (using an J2EE app) and move all data to an oracle D8 central repository.

We are intend to recommend best architecture and sizing but we do not know Oracle 7 database.

• Can you recommend us any tool to extract performance information like AWR on Oracle D8 version 7?

• Can you recommend us methods and tools to migrate database from oracle database version 7 to oracle database version 12?

Don't do this | What's wrong here?



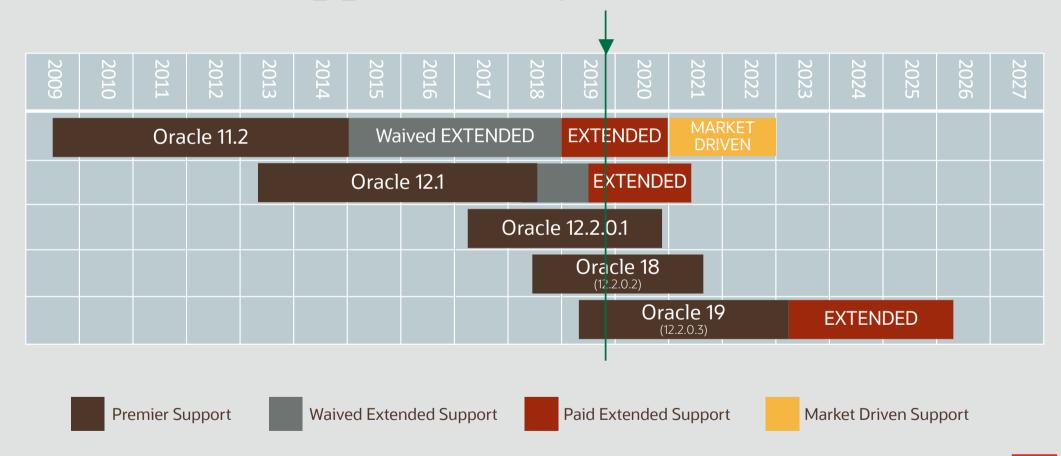
Database Upgrade

Why you "want" to upgrade





Lifetime Support Policy





Oracle 12.2 Release Family?

- · Includes:
 - Oracle 12.2.0.1, Oracle 18c (12.2.0.2), Oracle 19c (12.2.0.3)

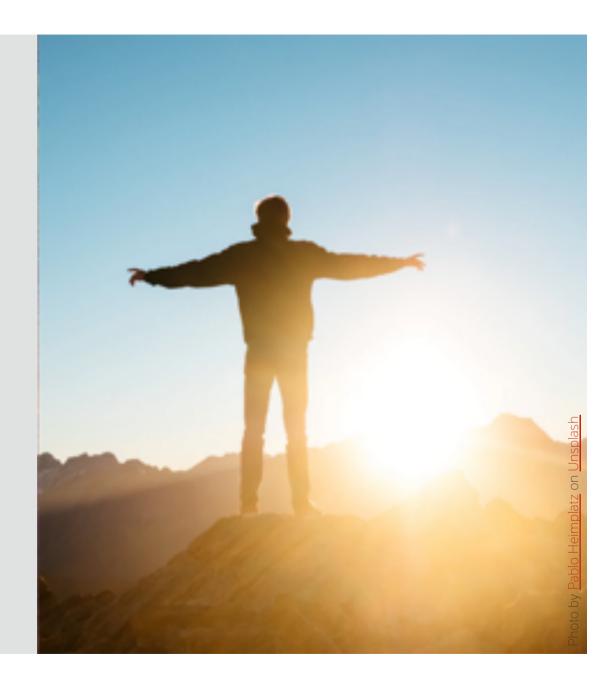


• MOS Note:742060.1 - The Single Source of Truth



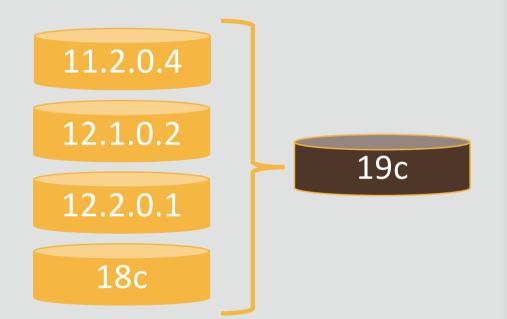
Check

Before Upgrade



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Database Upgrade | Supported Releases





https://mikedietrichde.com/2019/02/14/oracledatabase-19c-requires-ol7-rhel7-or-sles12-or-newer/



Supportability | OS Certification





Supportability | OS Certification





AutoUpgrade

The recommended way to upgrade databases





Download | Get the latest autoupgrade.jar

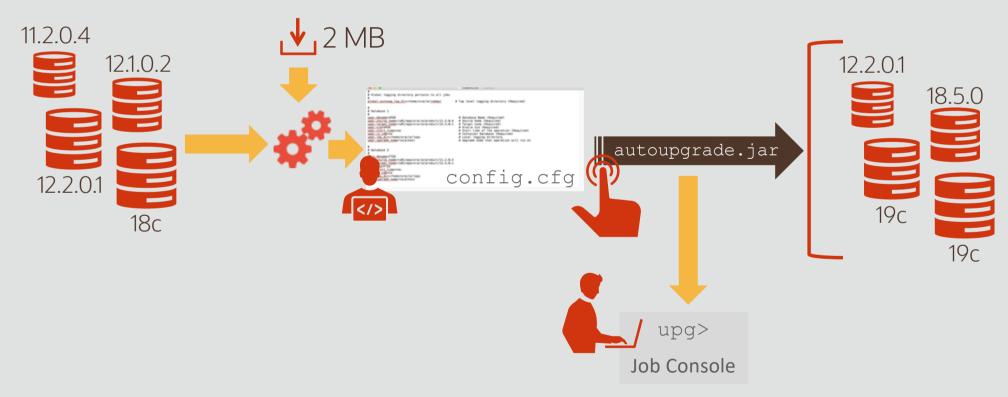
• MOS Note: 2485457.1





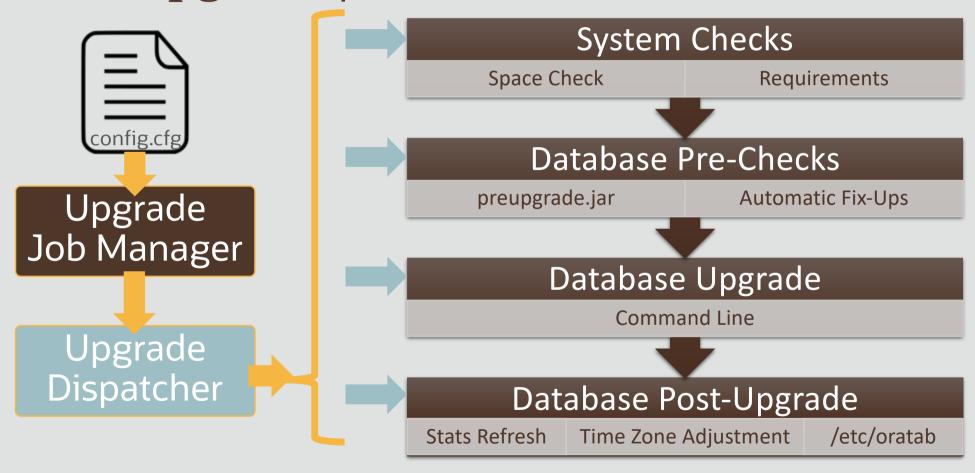
Upgrade | AutoUpgrade

autoupgrade.jar MOS Note: 2485457.1





AutoUpgrade | Architecture



AutoUpgrade | Requirements

- Java 8 or newer
 - Default in your \$ORACLE_HOME since 12.1.0.2
 - Check: \$ORACLE_HOME/jdk/bin/java -version
- The AutoUpgrade package
 - A jar file of 2 MB in size



AutoUpgrade | Availability and Support

- Non-CDB and CDB upgrades
- All operating systems running Oracle Database servers
- Standard Edition 2 and Enterprise Edition
- Upgrades from version:
 - 11.2.0.4 and newer
- Upgrades to version:
 - 12.2.0.1 with Jan 2019 Update or newer
 - 18.5.0 (includes Jan 2019 Update) or newer
 - 19.3.0 or newer



AutoUpgrade | What you don't need ...

- Agents
- EM Cloud Control
- DBUA
- Extra license



AutoUpgrade | What we are working on

- RAC
- Data Guard
 - Disable DG Broker and defer log transport
- Migration from non-CDB to PDB
 - Well ... we can ... but it is not flexible enough yet ☺
- Unplug/plug/upgrade



AutoUpgrade | Blog Post Series

• https://mikedietrichde.com/2019/04/29/the-new-autoupgrade-

utility-in-oracle-19c/

AutoUpgrade - Step-by-step

- 1. The new AutoUpgrade Utility Download, documentation and supported versi
- 2. Create and adjust the config file for AutoUpgrade
- 3. Config file for AutoUpgrade Advanced options
- 4. Config file for AutoUpgrade Tweaking init parameters
- 5. AutoUpgrade: ANALYZE, FIXUPS, UPGRADE and DEPLOY modes
- 6. AutoUpgrade: Where do you find all the logfiles?
- 7. UPG: The AutoUpgrade Command Line Interface
- 8. Upgrading Multitenant databases with AutoUpgrade
- 9. Moving to a new server with AutoUpgrade
- 10. How to tweak the hidden settings in AutoUpgrade
- 11. AutoUpgrade and Data Guard, RAC, Restart and non-CDB to PDB
- 12. AutoUpgrade and Wallets





AutoUpgrade | Use the newest version

• \$ java -jar autoupgrade_new.jar -version
build.hash 9c85160
build.version 20191024
build.date 2019/10/24 12:47:31
build.max_target_version 19
build.type production

AutoUpgrade | Create sample config file

\$ java -jar autoupgrade.jar -create_sample_file config
Created sample configuration file /home/oracle/sample config.cfg

AutoUpgrade | Example config file

```
global.autoupg_log_dir=/home/oracle/logs

upg1.dbname=DB12
upg1.start_time=NOW
upg1.source_home=/u01/app/oracle/product/12.2.0.1
upg1.target_home=/u01/app/oracle/product/19
upg1.sid=DB12
upg1.log_dir=/home/oracle/aux/DB12
upg1.upgrade_node=localhost
upg1.target_version=19

upg2.dbname=UPGR
upg2.start_time=NOW
upg2.source_home=/u01/app/oracle/product/11.2.0.4
```



AutoUpgrade | Adjust init parameters

```
#
# Example global pfile configuration entries
#
global del_during_upgrade_pfile=/database/pfiles/global_during_delinit.ora
global add during_upgrade_pfile=/database/pfiles/global_during_addinit.ora
global del_after_upgrade_pfile=/database/pfiles/global_after_delinit.ora
global add_after_upgrade_pfile=/database/pfiles/global_after_addinit.ora
#
# Example local pfile configuration entries
#
upg2.del_during_upgrade_pfile=/database/pfiles/hr_during_delinit.ora
upg2.add_during_upgrade_pfile=/database/pfiles/hr_during_addinit.ora
upg2.del_after_upgrade_pfile=/database/pfiles/hr_after_delinit.ora
upg2.add_after_upgrade_pfile=/database/pfiles/hr_after_delinit.ora
upg2.add_after_upgrade_pfile=/database/pfiles/hr_after_delinit.ora
```



AutoUpgrade | Execute external scripts

```
#
# Example global script execution
#
global before_action=/database/scripts/run_this_before.sh
global after action=/database/scripts/run_this_after.sh

# Example local script execution
#
upg2 before_action=/database/scripts/run_this_on_UPG2_before.sh
upg2 after action=/database/scripts/run_this_on_UPG2_after.sh
```

- Permitted extension options:
 - Unix shell (.sh)
 - Microsoft Windows batch (.bat, .cmd)
 - Microsoft Windows PowerShell (.ps1)



AutoUpgrade | Protection - GRP options

```
#
# By default a GRP will be created. Default for EE: Yes
#
upg2.restoration=no

#
# By default a GRP will be kept. This option overwrites the default.
#
upg2.drop_grp_after_upgrade=yes
```

AutoUpgrade | Other options

```
# Example global directive - Default: Underscores stay as before

# global.remove_underscore_parameters=yes

# If unset, utlrp.sql won't be run - Default: YES
# upgr2.run_utlrp=no

# If unset, time zone upgrade won't be performed - Default: YES
# As Timezone can't be downgraded, it may be useful to postpone and skip it
# upgr2.timezone_upg=no
```

AutoUpgrade | Start time

- VALID values
 - Format: DD/MM/YYYY HH24:MI:SS
 - NOW [this is the default]
 - 30/12/2018 15:30:00
 - 01/11/2019 01:30:15
 - 2/5/2019 3:30:50



AutoUpgrade | 4 Operation Modes

autoupgrade.jar

-analyze

-fixups

-upgrade

-deploy



AutoUpgrade | Best Practice

autoupgrade.jar

-analyze

-deploy

```
$ java -jar autoupgrade.jar -config config.cfg -mode analyze
```

\$ java -jar autoupgrade.jar -config config.cfg -mode deploy



AutoUpgrade | Move to new hardware

autoupgrade.jar

-analyze -fixups

Source System

autoupgrade.jar

-upgrade

Target System

```
$ java -jar autoupgrade.jar -config config.cfg -mode analyze
$ java -jar autoupgrade.jar -config config.cfg -mode fixups
$ java -jar autoupgrade.jar -config config.cfg -mode upgrade
```



AutoUpgrade | Job Console

Most important commands

```
upg>
```

```
lsj
resume -job <number>  // Restarts a job
status -job <number>  // Show job status
restore -job <number>  // Restores database from GRP
abort -job <number>  // Aborts the specified job
```



AutoUpgrade | Log File Structure

- Logs written in TEXT and JSON format
 - /cfgtoollogs
 - ./upgrade/auto/status
- ← Status Logs

- /database 1
 - ./job number
 - · ./prechecks
 - ./preupgrade
 - ./prefixups
 - ./drain
 - ./dbupgrade
 - ./postupgrade
 - ./temp
- /database 2

•

← HTML Report

← Upgrade Logs



AutoUpgrade

Oracle 11.2.0.4 => 19.5.0 Oracle 12.2.0.1 => 19.5.0

https://youtu.be/dO_y8sOasjs

AutoUpgrade | What if ...

- 1. ZIP the entire global log directory: global.autoupg log dir
- 2. Add the alert.log
- 3. Upload it to MOS



Next version will have:

java -jar autoupgrade.jar -config config.cfg -zip



AutoUpgrade | What if ... a fixup fails?

- If a fixup fails, the upgrade fails
- In case you need to overwrite this particular fixup
 - prefix logdir/sid/jobno/prechecks/sid checklist.cfg

```
[checkname] DICTIONARY_STATS
[stage] PRECHECKS

[fixup_available] YES
[runfix] YES >>>> NO

[severity] RECOMMEND
```



AutoUpgrade | What if ... you need to restart?

- By default, AutoUpgrade will resume
- If you'd like to restart, remove the recovery checkpoint
 - java -jar autoupgrade.jar -config DB.cfg -clear_recovery_data
- Then start AutoUpgrade again



AutoUpgrade | What if ... you have RAC? 1/2

- Run analyze and fixups mode
 - java -jar autoupgrade.jar -config config.cfg -mode analyze
 - java -jar autoupgrade.jar -config config.cfg -mode fixups
- Stop the instances and disable the database
 - srvctl stop database -d orcl
 - srvctl disable database -d orcl
- Startup the database on current node
 - sqlplus / as sysdba
 - SQL> startup
- Run the deploy mode
 - java -jar autoupgrade.jar -config config.cfg -mode deploy



AutoUpgrade | What if ... you have RAC? 2/2

Create the spfile

- sqlplus / as sysdba
 - SQL> startup nomount
 - SQL> create spfile='+DATA' from pfile='/home/oracle/autoupg2/orcl1/orcl1/temp/after upgrade pfile orcl1.ora';
 - SQL> shutdown immediate
 - SQL> exit

Update Clusterware

- srvctl upgrade database -d orcl -oraclehome \$ORACLE HOME
- srvctl enable database -d orcl
- srvctl status database -d orcl
- srvctl config database -d orcl
- srvctl start database -d orcl
- srvctl status database -d orcl



"After qualifying the new AutoUpgrade tool on a representative portion of our database landscape we found that tool was doing a great job and is production-ready. In our automation tool we have removed a lot of "home-grown" code, and replaced it with AutoUpgrade functionality.

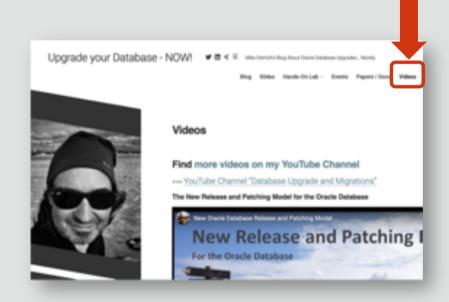
Since August 2019 all upgrades at SimCorp have been executed using the AutoUpgrade tool."

Daniel Overby Hansen Lead Developer SimCorp A/S - Denmark



Videos | Upgrade Channel on Youtube

- https://www.youtube.com/channel/UCTKvCZwAbOeTSRFQDAh7yXw
- AutoUpgrade
 - https://youtu.be/kr3aZrqA9Go [11:57 min]
 - https://youtu.be/dO_y8sOasjs [DOAG 2019]
- Preupgrade Steps
 - https://youtu.be/2ROPlcn7Udk [6:27 min]
- Database Upgrade
 - https://youtu.be/ZqW3hS64hng [4:17 min]
- Postupgrade and Time Zone change
 - https://youtu.be/kEBLqYDmspw [7:58 min]





Migration Strategies

Migration to Oracle Multitenant





Oracle Multitenant | Oracle 19c

8.1.1 Deprecation of Non-CDB Architecture

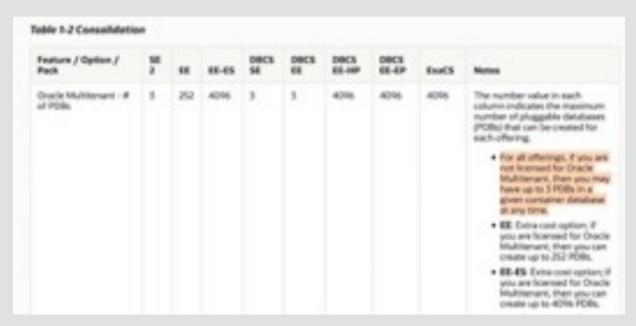
The non-CDB architecture is deprecated in Oracle Database 12c, and may be desupported and unavailable in a release after Oracle Database 12c Release 2. Oracle recommends use of the CDB architecture.

- Oracle 18c and Oracle 19c belong to the Oracle Database 12.2 release family
- For further details see MOS Note: 742060.1

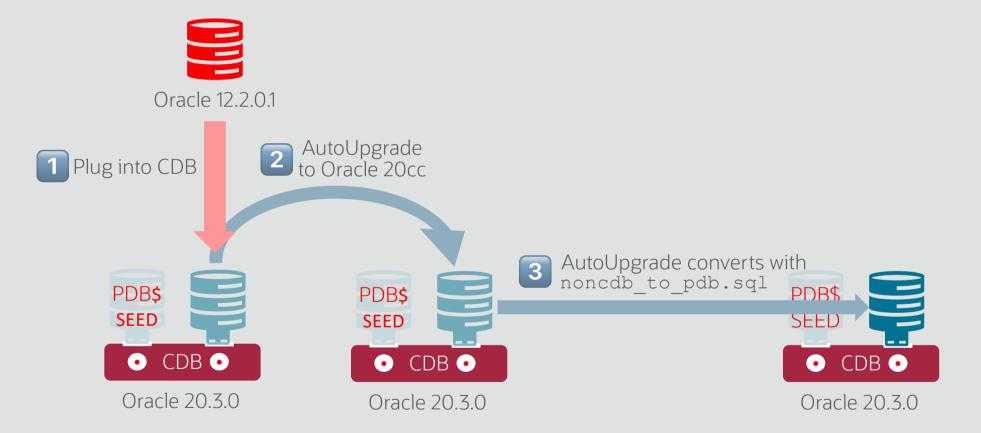


And by the way ...

- Since Oracle 19c you can have 3 user-created PDBs without need for a Multitenant license!!!
 - https://mikedietrichde.com/2019/09/17/3-pdbs-included-with-oracle-19c-and-desupport-of-non-cdbs-with-20c/



Upgrade to 20c | Migration to Multitenant





AutoUpgrade | Migration to Multitenant

Future functionality

```
#
# Specify the SID of the target CDB to plug into after upgrade
#
upg2.target_cdb=CDB2
```



Move to Multitenant | Automation?

- AutoUpgrade automates non-CDB-to-PDB migration
 - Requires customer creating the destination CDB
 - Can't lift the migration constraints (downtime, fallback)
- No automation for Endianness migrations



https://mikedietrichde.com/2019/07/22/database-migration-from-non-cdb-to-pdb-overview/



Database Migration from non-CDB to PDB — Overview

**Today 15 start a 1992 post series of several "hours of articles about distateurs migration from non-CDB to PDB — Overview

**Today 15 start a 1992 post series of several "hours of the other respective passes.

**Today 15 start a 1992 post of tours, the tride to all the other respective passes.

**Defabase Migration from non-CDB to PDB — Overview

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https://mikedietrichde.com/2019/07/22/database-migration-from-non-cdb-to-pdb-overview/

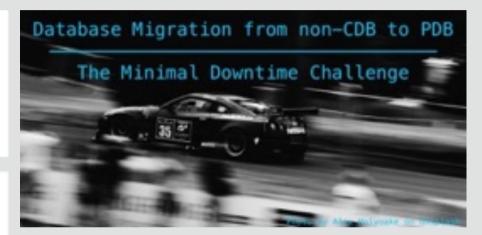
Related Posts

- Upgrade, plug in, convert (noncdb_to_pdb.sql) 11.2.0.4 and higher
- Plugin, upgrade, convert (noncdb_to_pdb.sql) 12.2.0.1 and higher
- Clone via NON\$CDB, upgrade, convert 12.1.0.2 and higher

Things to know

In addition to these scenarios, I'd like to highlight also the special cases such as:

- Typical Plugin Issues and Workarounds
- The Compatible Pitfall
- The Time Zone Pitfall
- . The Component Pitfall
- The Patch Level Pitfall
- Various Pitfalls
- The Fallback Challenge
- The Minimal Downtime Challenge

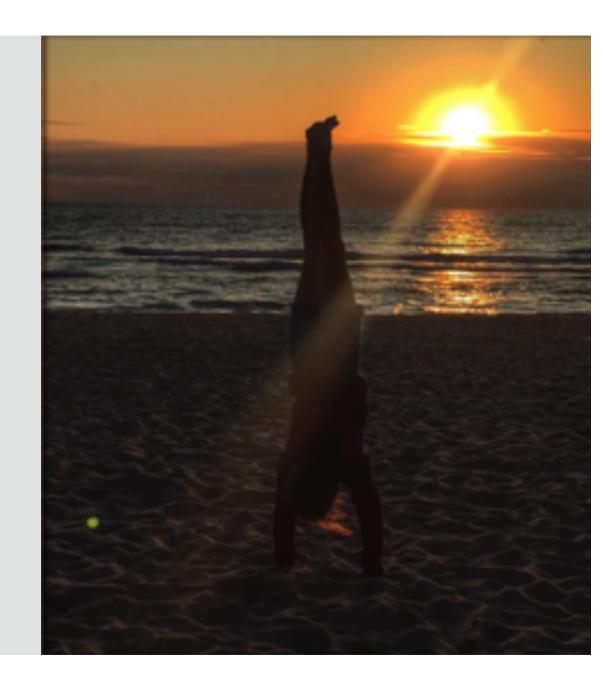






Further Information

Finally ...



Slides | https://MikeDietrichDE.com





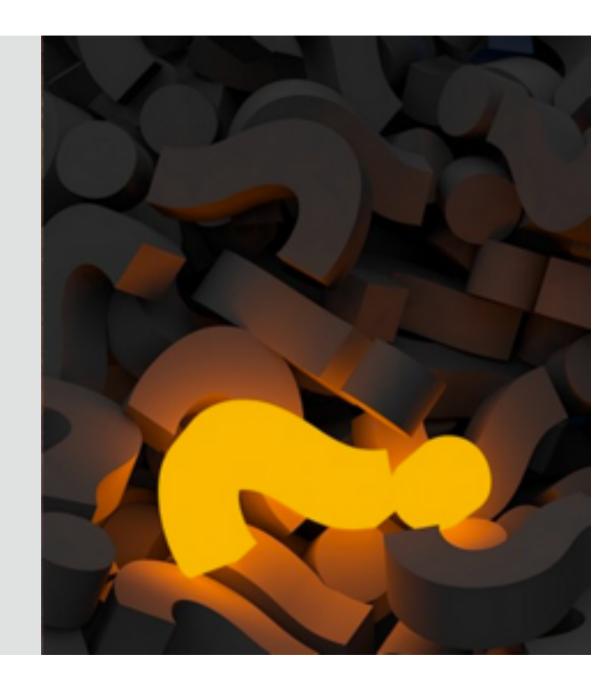
Upgrade talks you may want to attend, too

- Wednesday, Nov 20 13:00h Tokio
 - How to Diagnose Oracle Database Upgrade Issues
 - Roy Swonger, VP Database Upgrade, Utilities and Datapatch, Oracle
- Thursday, Nov 21 9:00h Tokio
 - Supercharging Oracle Upgrades
 - Daniel Overby Hansen, Lead Developer, SimCorp A/S
 - Mike Dietrich, Master Product Manager, Database Upgrade and Migrations, Oracle



Questions?

Thank you for your attention!



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